

# The Monte Carlo code FLUKA and its applications

*SL seminar*

CERN, 28-6-2001

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<sup>2</sup> CERN SL/BT/TA (on leave from INFN-Milan)

## FLUKA: generalities

# FLUKA

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*Interaction and transport MonteCarlo code*

- Hadron-hadron and hadron-nucleus interactions 0-100 TeV
- Nucleus-nucleus interactions 0-10000 TeV/n: *under development*
- Electromagnetic and  $\mu$  interactions 1 keV-100 TeV
- Neutrino interactions
- Charged particle transport including all relevant processes
- Transport in magnetic field
- Combinatorial (boolean) geometry
- Neutron multigroup transport and interactions 0-20 MeV
- Analogue or variance reduction calculations

## FLUKA: generalities

At each step the occurrence and outcome of interactions are decided by random selection from the appropriate probability distribution.

All the secondaries issued from the same primary are transported before a new history is started.

Statistical accuracy of results depends on number of “histories”  
Statistical convergence can be accelerated by “biasing” techniques.

Microscopic MonteCarlo: Probability distributions from theoretical models

Supplemented by experimental data (cross sections, mass tables...)

Checked against experimental data



Predictivity , full correlation

## FLUKA History

*Beginning of the FLUKA history : 1962* Johannes Ranft (Leipzig) and H. Geibel (CERN): MonteCarlo codes for high energy beams, as required for CERN accelerators *first phase of SPS design*

*The name FLUKA : 1970:* calorimeter fluctuations on an event-by-event basis (FLUKA = FLUKtuierende KASskade).

*At the beginning of the 70's,* strong contribution of J. Ranft and J. Routti (Helsinki), to the SPS radiation study group, coordinated by K. Goebel. Later, researchers from Helsinki (P. Aarnio) and from CERN (G.R. Stevenson, A. Fassò ) contributed to the code *till*  $\approx$  1987.

*The present code : since 1990* mostly INFN-Milan : little or no remnants of older versions. Link with the past: J. Ranft, A. Fassò

The code is huge:  $\approx$  350,000 lines of fortran code (vs  $\approx$  30,000 in 1987)

# FLUKA History



## FLUKA: sponsors

**FLUKA** is a “private” effort, it has no official distribution, and is in continuous evolution. Developments are always driven by the concrete needs of the authors experiments/collaborations.

There is no “official” CERN support for **FLUKA**, even though presently A. Ferrari , A. Fassò and P.R. Sala are working at CERN.

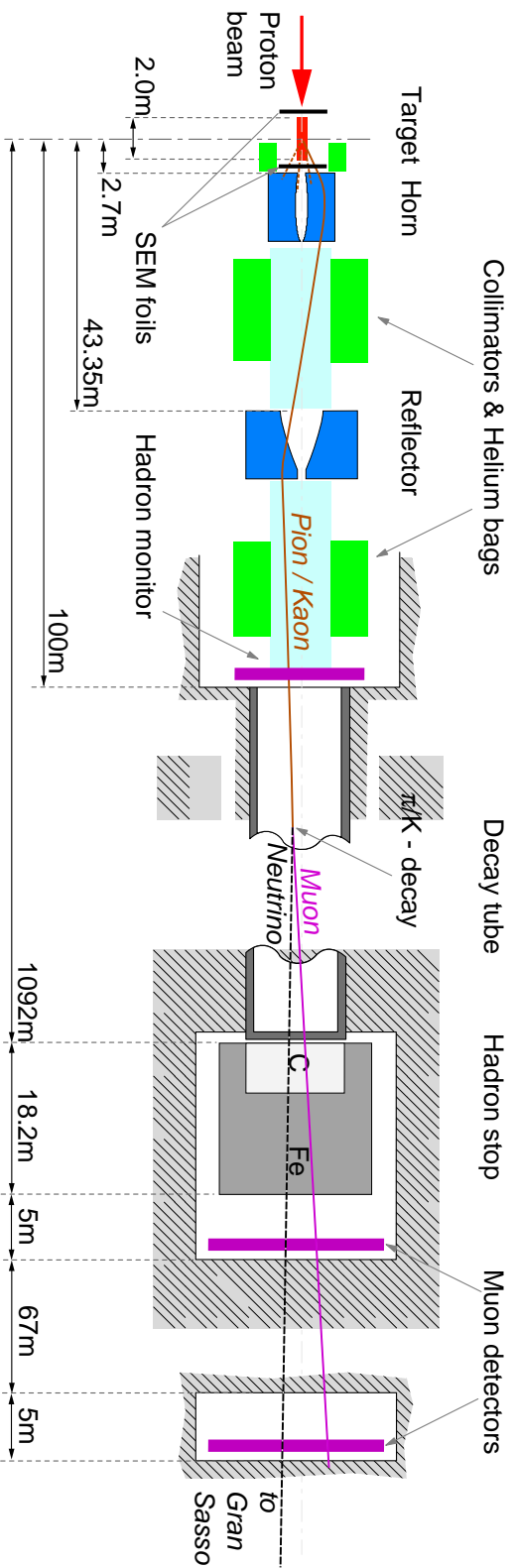
A project for **FLUKA** development is being discussed by the **INFN** scientific committees and it is expected to be approved in September.

Supported by a **NASA** contract for space-related developments.

Wide use at CERN ( SL, TIS, CNGS, LHC experiments..) and in other labs ( SLAC, INFN..)

MonteCarlo of the ICARUS experiment, part of the Energy Amplifier simulation chain...

## CERN Neutrino to GranSasso



400 GeV/c protons, double fast extraction,  $5 \cdot 10^{13}$  protons every 6 s

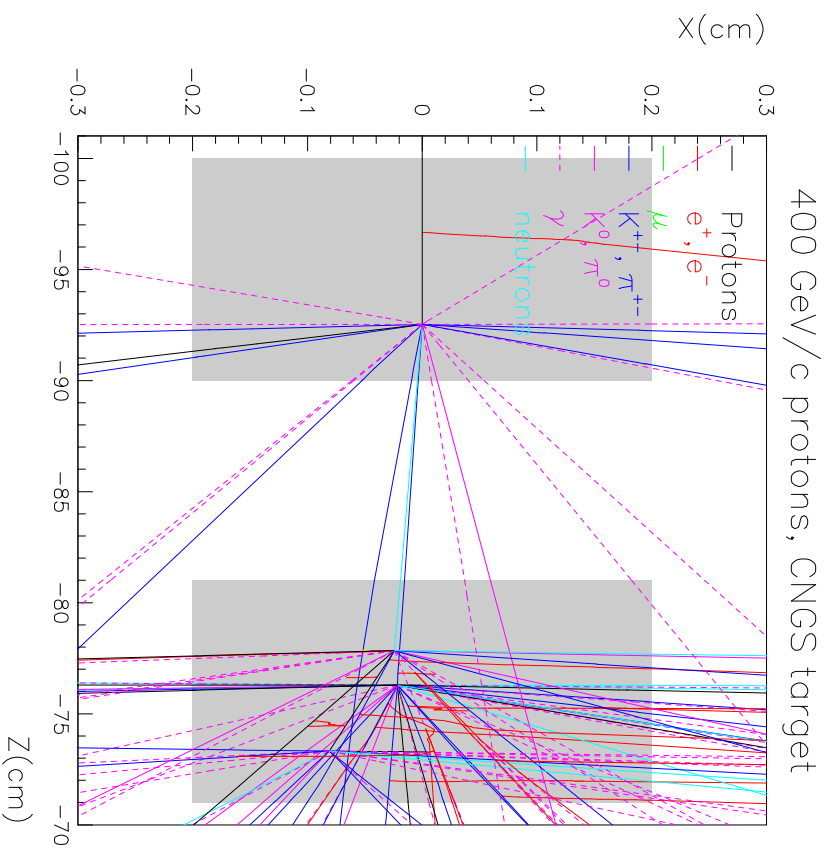
Thin graphite target ( $\varnothing 4$  mm, 13 bars 100 mm each )

Two magnetic lenses focalize 35 and 50 GeV positive

1 Km decay tube

730 Km to Gran Sasso

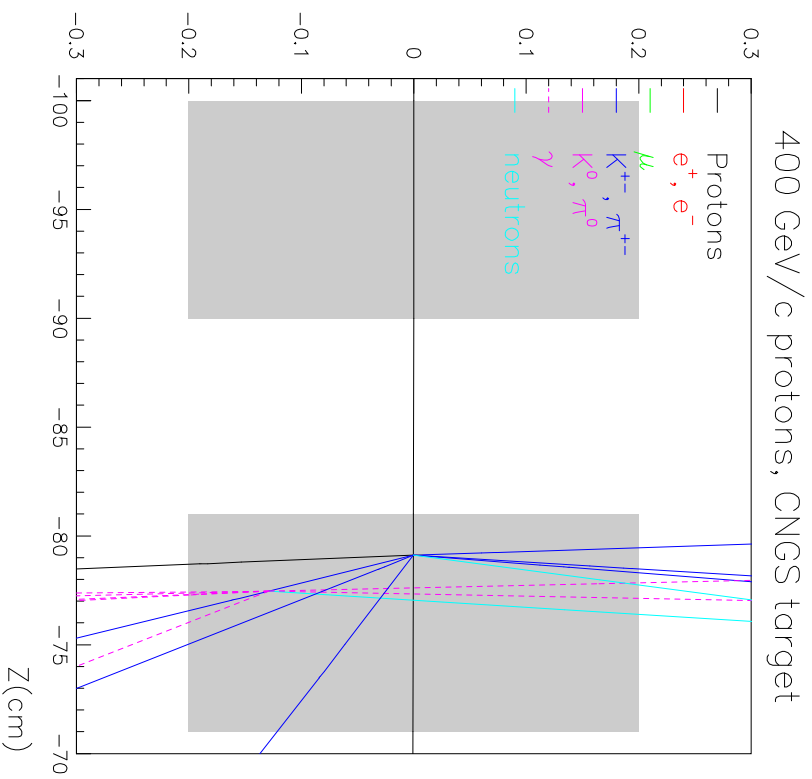
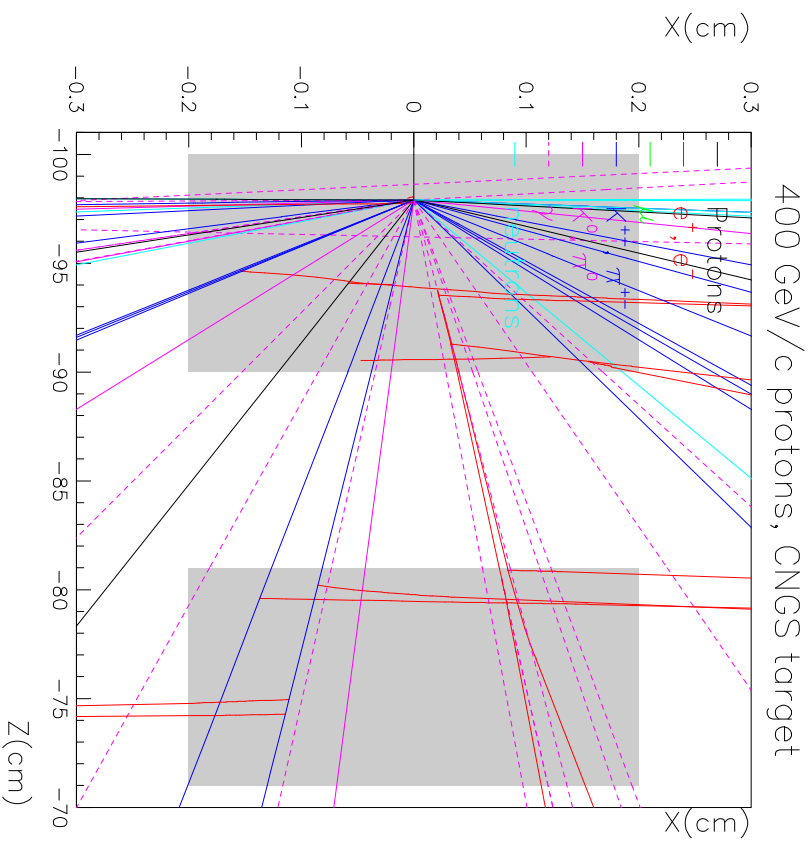
## A CNGS event



- High E had. int + decay
  - $\nu$  flux
- Reinteractions (down to low E)
  - $\nu$  flux
  - shower development
  - in and outside heating
  - dose, activation
- Neutronics
  - dose, activation
- Ionization
  - shower development
  - heating
- Electromagnetic processes
  - shower development
  - heating



## Other CNGS events



## The FLUKA hadronic models

### Building block: Hadron-Nucleon

Elastic, charge exchange, resonance production, Dual Parton Model

### Inside the nucleus

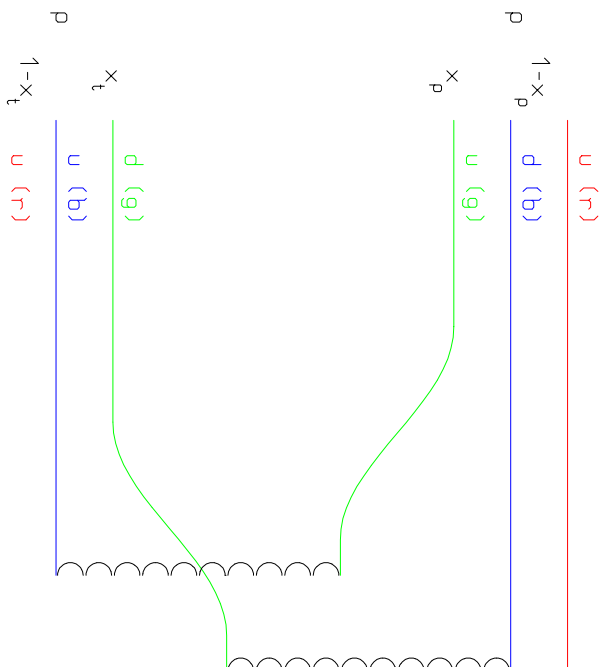
Fermi Motion of target nucleons, Nuclear Potential  
Initial state effects for target and projectile, Glauber multiple scattering,  
many-body absorption, collective excitations..

### Generalized Intranuclear Cascade

Towards equilibrium :

- Final state effects
- Preequilibrium: statistical repartition of energy
  - Evaporation from a thermalized system, fission, Fermi Break-up
  - Nuclear deexcitation through  $\gamma$  rays

## Inelastic $hN$ at high energies: DPM

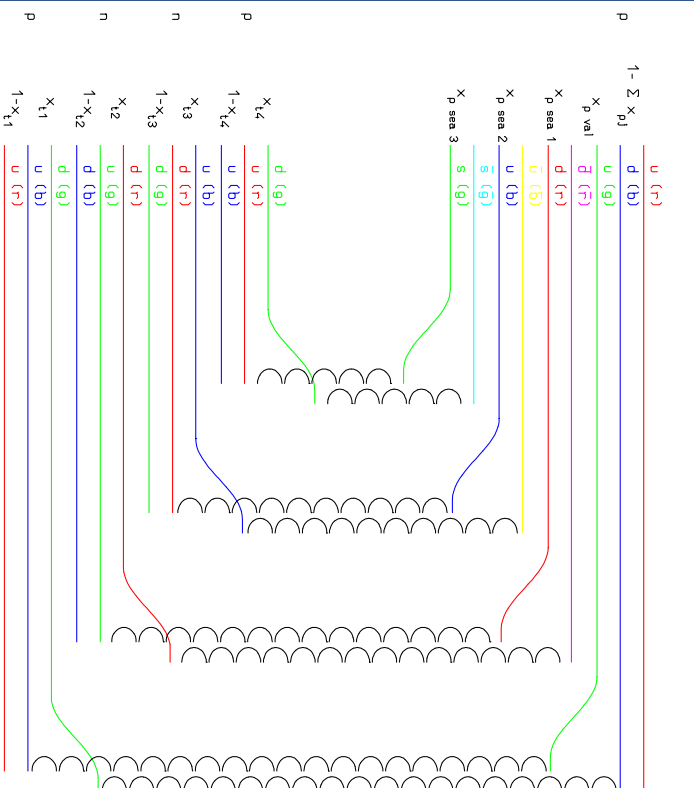


Leading two-chain diagram in DPM for  $p-p$  scattering. The color (red, blue, and green) and quark combination shown in the figure is just one of the allowed possibilities

Strings = quarks held together by the gluon-gluon interaction  
 Interacting via Pomeron exchange = closed string exchange  
 Each colliding hadron splits into two colored partons  $\rightarrow$  combination into two color neutral chains  
 $\rightarrow$  hadronize into two back-to-back jets.

## h-A at high energies: Glauber-Gribov

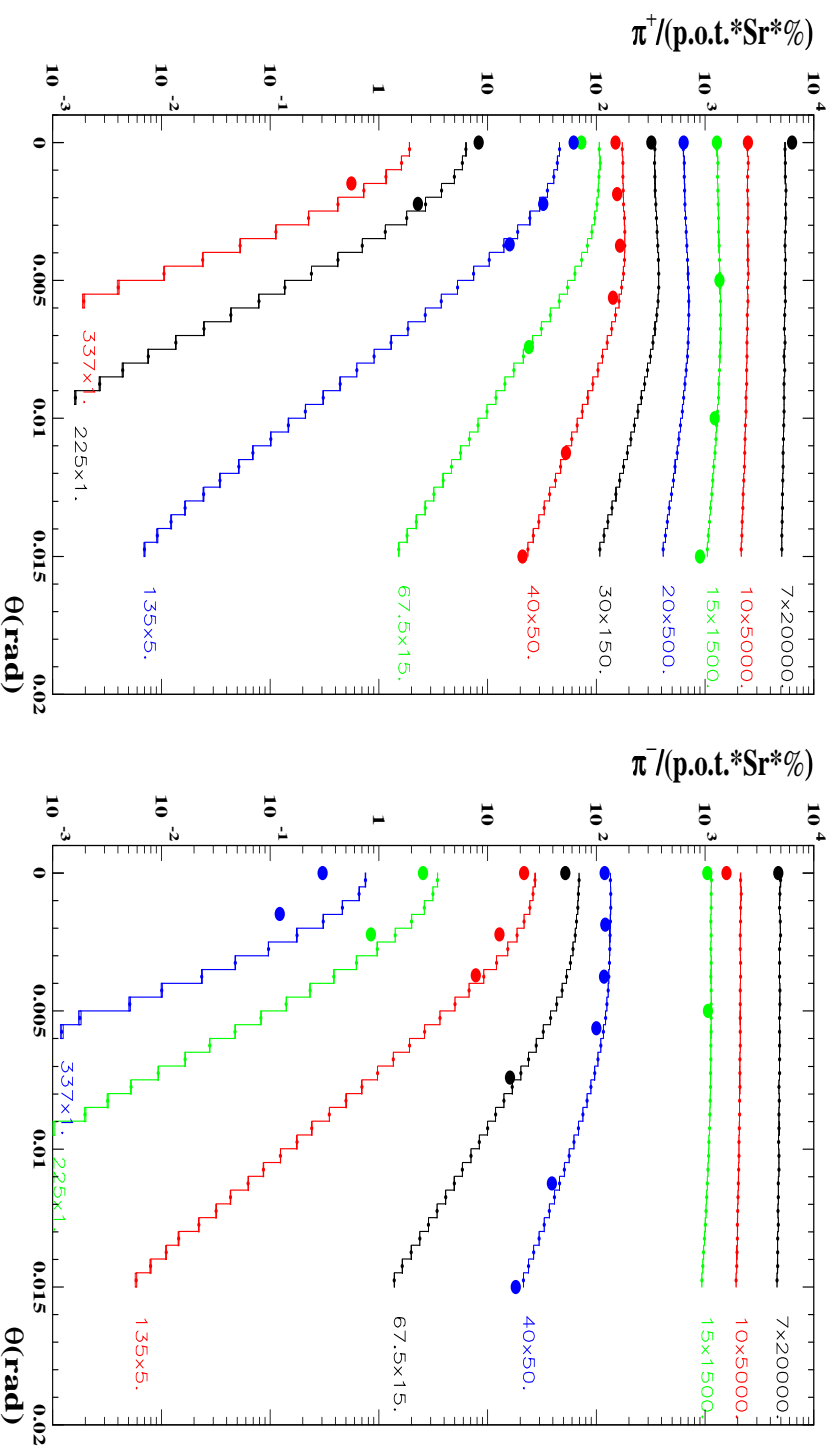
Primary interaction: Glauber = multiple interaction with  $\nu$  nucleons,  
binomial distribution



One of the possibilities for Glauber-Gribov scattering with 4 collisions

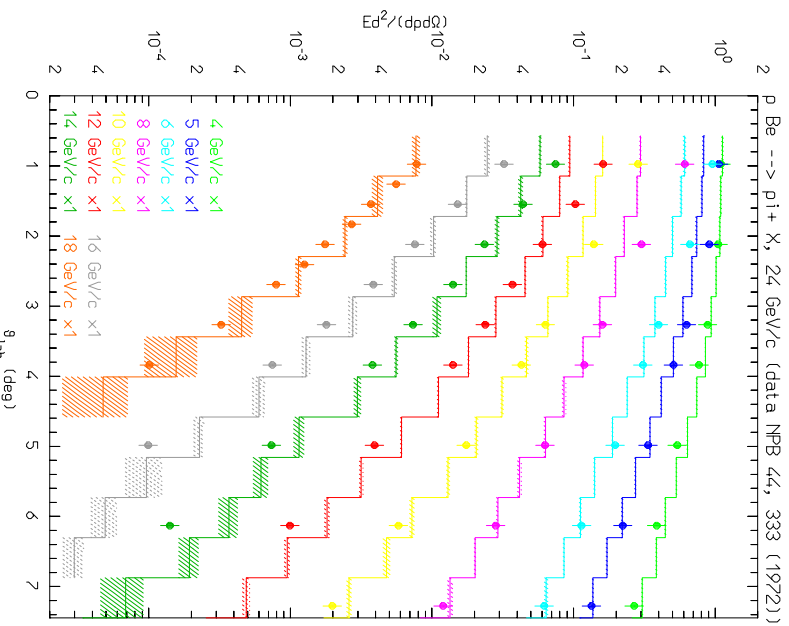
Gribov  
⇕  
 $2\nu$  chains  
2 valence-valence chains  
 $2(\nu - 1)$  chains between projectile sea and target valence (di)quarks.  
**No freedom**, except in mass effects at low energies.  
Fermi motion included  $\rightarrow$  smearing of  $E$  and  $p_T$  distributions

## Comparison with SPY I

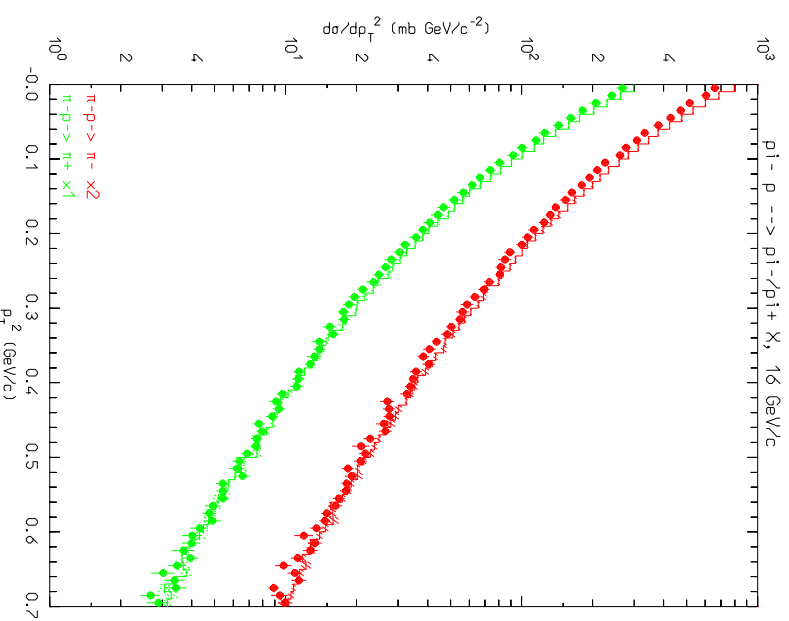


Double differential cross section for  $\pi^+$  (left) and  $\pi^-$  (right) production for 450 GeV/c protons on a 10 cm thick Be target (data from H.W. Atherton CERN 80–07, G. Ambrosini et al. PL B425 208 (1988)).

## Nonelastic hA interactions at high energies: examples IV

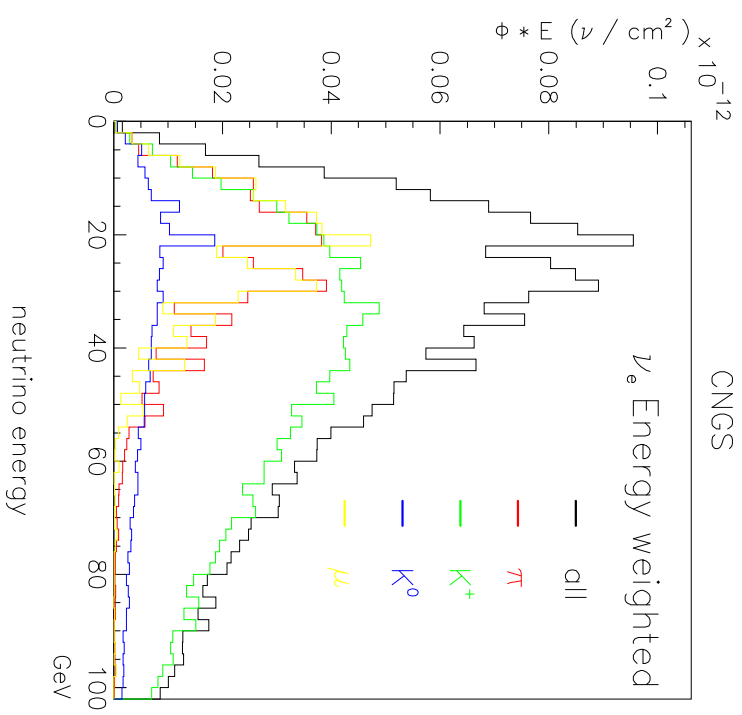
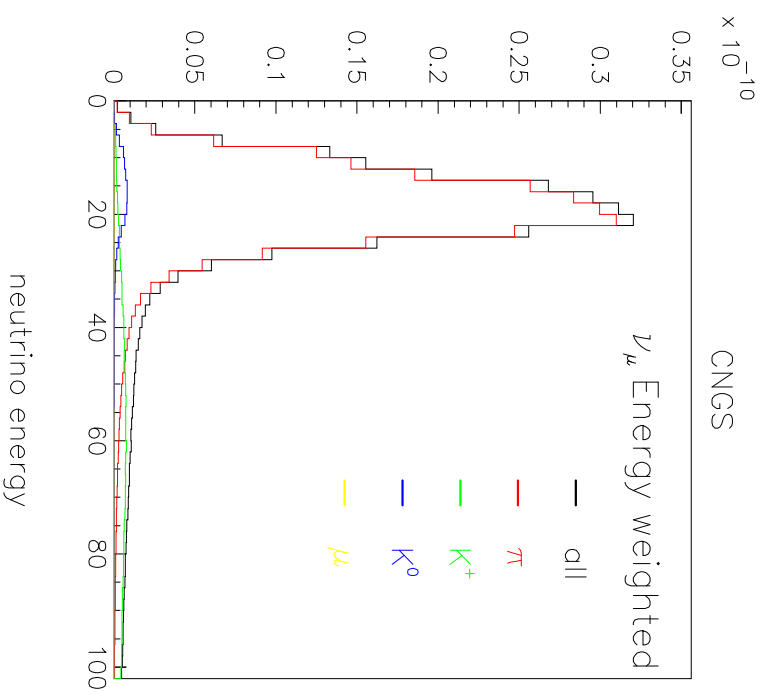


Invariant cross section distribution for  $\pi^+$ , 24 GeV/c protons on Be (T.Fichten et al. NPB 44, 333 (1972)).

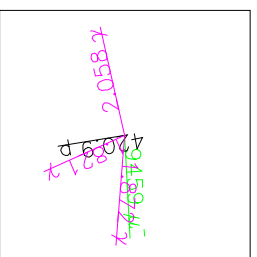
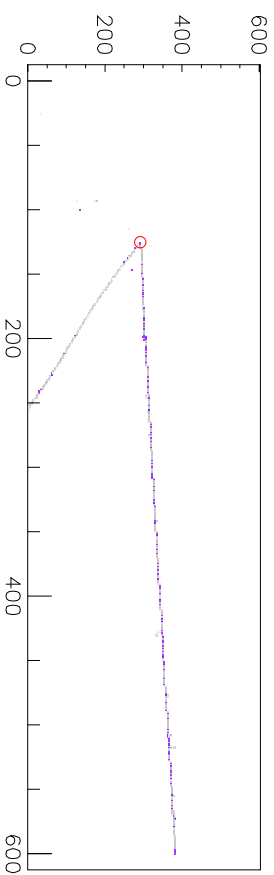
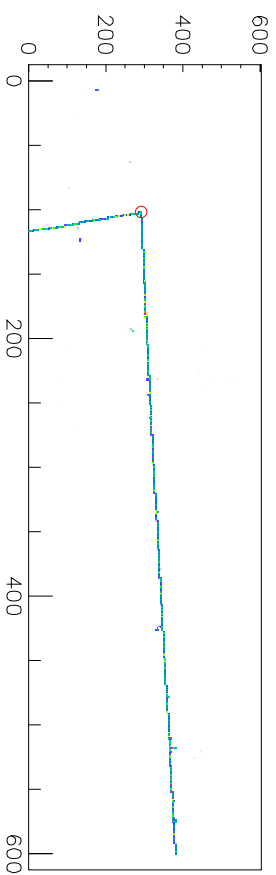


$p_T$  spectra of  $\pi^+$  and  $\pi^-$  produced by 16 GeV/c  $\pi^-$  on H. (M.E Law et al. LBL80 (1972)).

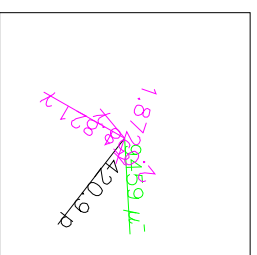
Nice agreement with experiment → confidence in prediction for CNGS



## Neutrino quasi-elastic events



vertex 1  
SOURCE  
9459 MeV



ICARUS prototype: two  
views in Liquid Argon,  
10 GeV  $\nu_\mu$  CC

“Clean” event :

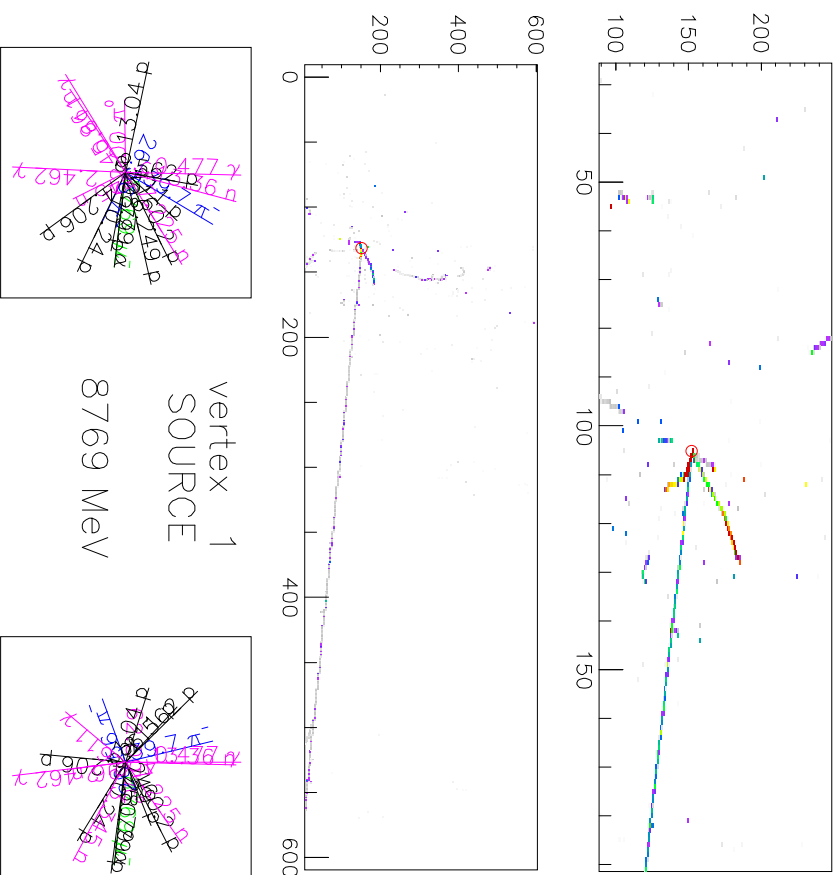
$$\nu_\mu n \rightarrow \mu^- p$$



$$\mu^- p \gamma$$



## Neutrino quasi-elastic events



ICARUS prototype: two  
views in Liquid Argon,  
10 GeV  $\nu_\mu$  CC

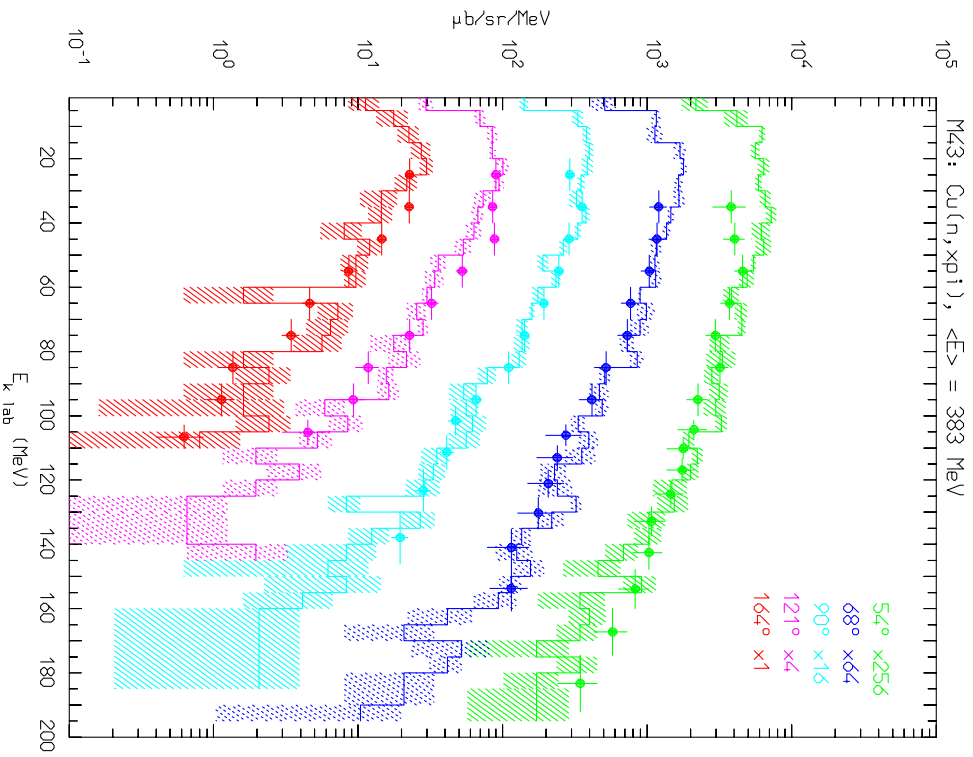
Complex event :

$$\nu_\mu n \rightarrow \mu^- p$$

↓

1  $\mu^-$ , 8 p, 2  $\pi^-$ , 1  $\pi^0$ ,  
4 n, 1  $\alpha$ , 3  $\gamma$

## Hadronic interactions at intermediate energies



PEANUT  
GINC + preequilibrium+..

quantistic effects (Pauli blocking,  
formation zone..)  
nuclear potentials and curvature of  
trajectories,  
many-body effects  
relativistic kinematics  
binding energies, ..

Example:  $n + \text{Cu} \rightarrow \pi X$ , at  
383 MeV. Exp. data (symbols) from  
Buche et al. NPA515, (1990) 541

## Positive Kaons : example



No low mass  $S=1$  baryons  $\rightarrow$

weak  $K^+N$  interaction

only elastic and ch. exch. up to

$\approx 800$  MeV/c

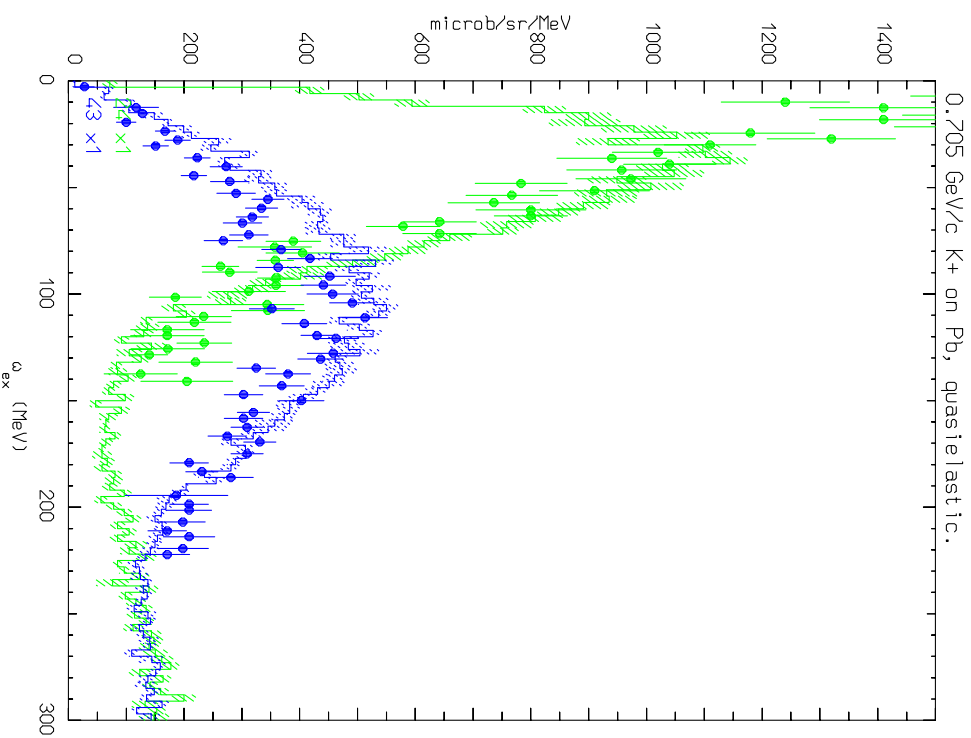
( $K^+$ ,  $K^{*+}$ ) on Pb vs residual excitation, 705 MeV/c, at  $24^\circ$  and  $43^\circ$ .

Histo: **FLUKA**, dots: data (Phys.

Rev. C51, 669 (1995))

On free nucleon: recoil energy :

43 MeV at  $24^\circ$ , 117 MeV at  $43^\circ$ .



# Neutrino interactions in PEANUT: *NUX-FLUKA*

Authors: A. Ferrari, A. Rubbia, P.R. Sala

Quasielastic event generator built-in

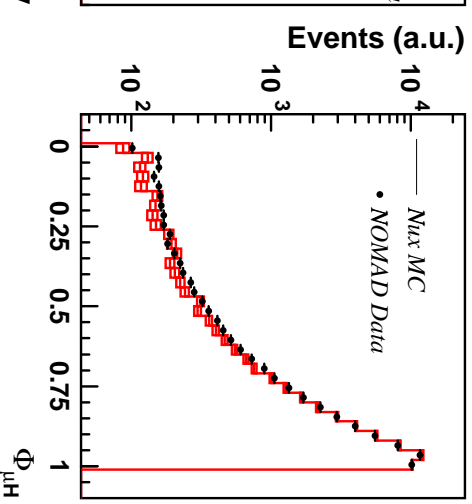
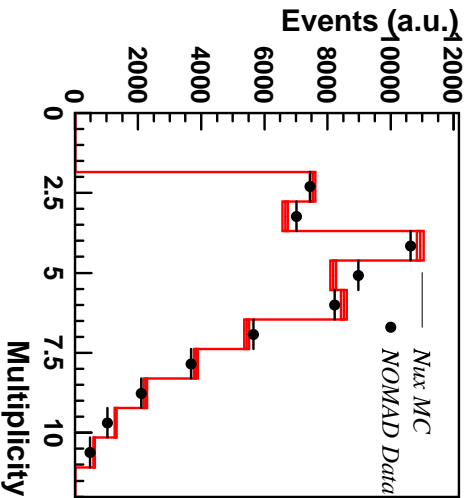
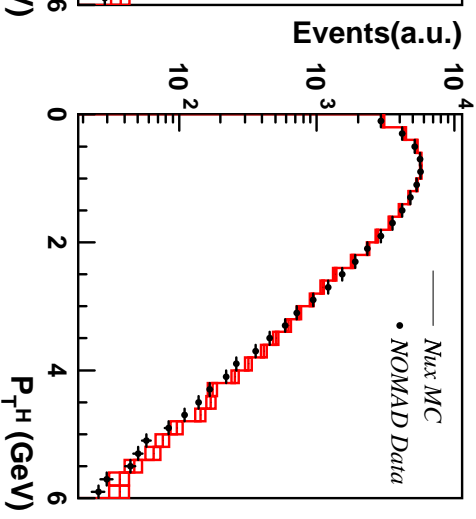
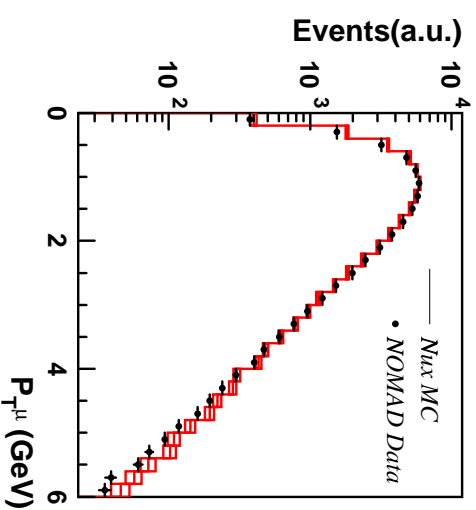
RES and DIS:  $\nu N$  interaction via *NUX* (A.Rubbia, originally for NOMAD)

Nuclear effects from

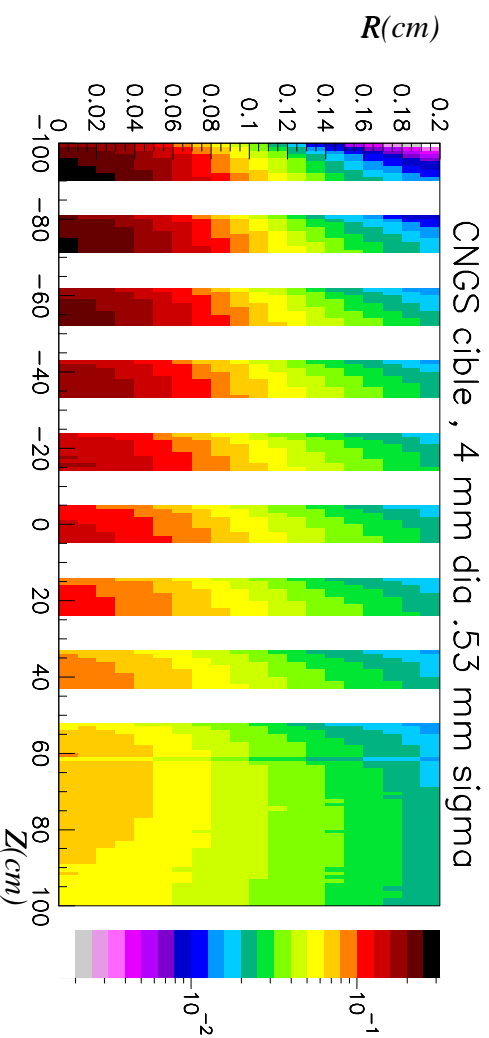
*PEANUT*

Comparison with NOMAD

data A. Bueno, A. Rubbia, ETH Zurich

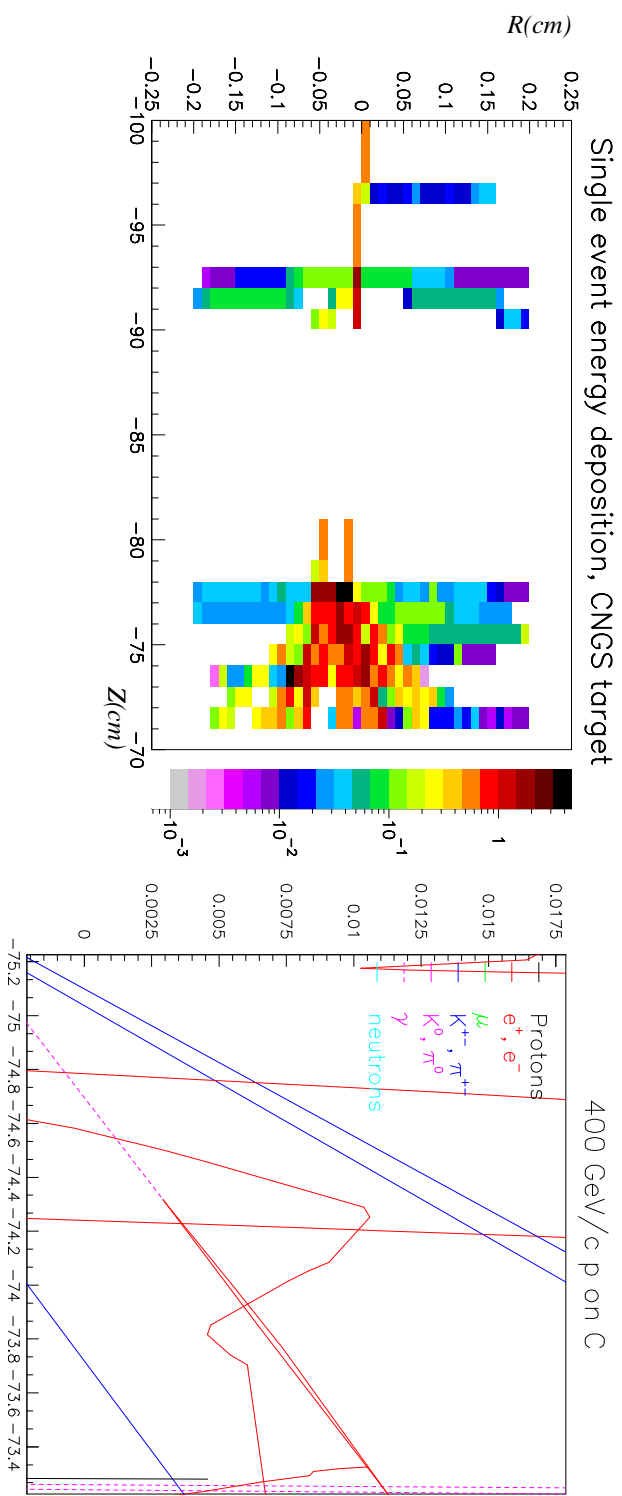


Baseline CNGS target : 13 Graphite rods,  $\varnothing=4$  mm, total length 2000 mm, Carbon length 1277 mm



Energy deposition in the standard CNGS target, in  $\text{GeV}/\text{cm}^3/\text{primary}$ , for a 400 GeV proton beam with  $\sigma=0.53\text{mm}$

# Energy deposition and zoomed section of the same CNGS event shown before



## Charged particle transport

Ionization energy losses (below  $\delta$  threshold)

Latest recommended values of ionization potential and density effect parameters implemented (Sternheimer, Berger & Seltzer) (can be overridden on user's request)

Special treatment of positron  $dE/dx$  (Kim et al. 1986)

A new general approach to ionization fluctuations

Multiple coulomb scattering

path length correction, lateral displacement, angle correlation

Soft approach to boundaries

Single scattering available, automatic if needed

Screening and spin-relativistic correction

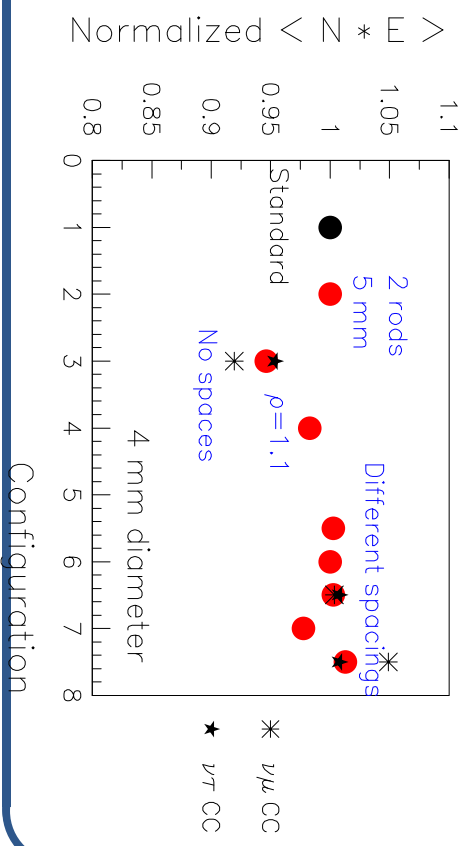
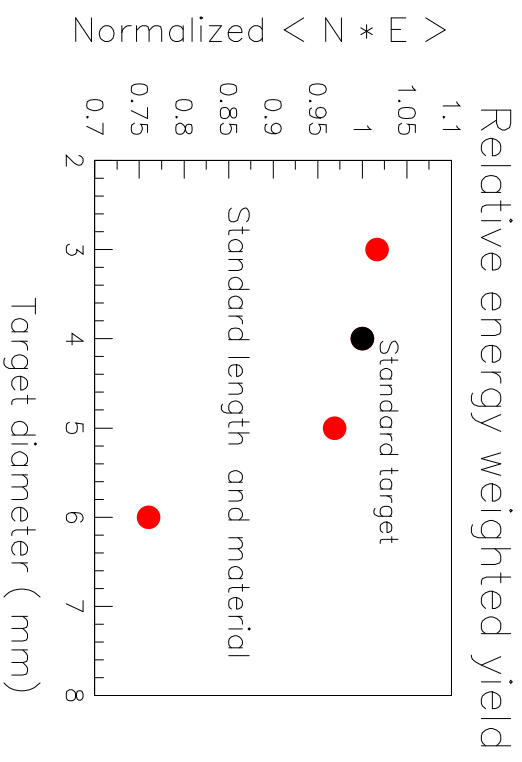
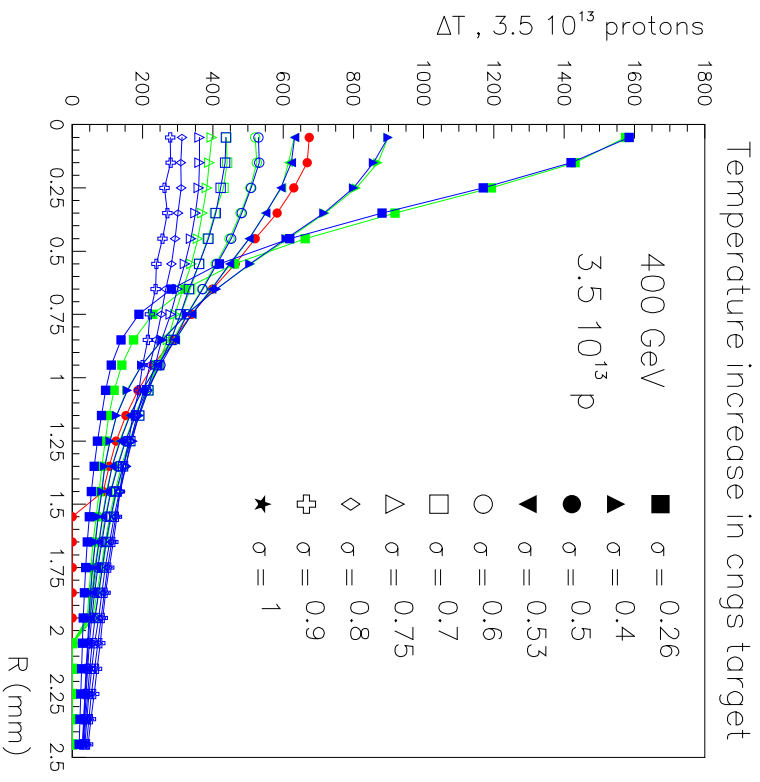
Fully coupled to magnetic field transport

## EMF : ElectroMagnetic Fluka

- Photoelectric** : fluorescence, angular distribution, Auger , polarization
- Compton and Rayleigh** : atomic bonds, polarization
- Pair production** correlated angular and energy distribution; also for  $\mu$
- Photonuclear** see later; also for  $\mu$
- Bremsstrahlung** : LPM, angular distribution, finite at tip, ... also for  $\mu$
- Bhabha and Møller scattering**
- Positron annihilation** at rest and in flight
- $\mu^-$  capture at rest
- Optical photon** (Čerenkov) production and transport



## Target optimization



## LHC beam dump



## LHC beam dump



## LHC beam dump



## Calculation of Atmospheric Neutrino Fluxes

### The ingredients and the recipe

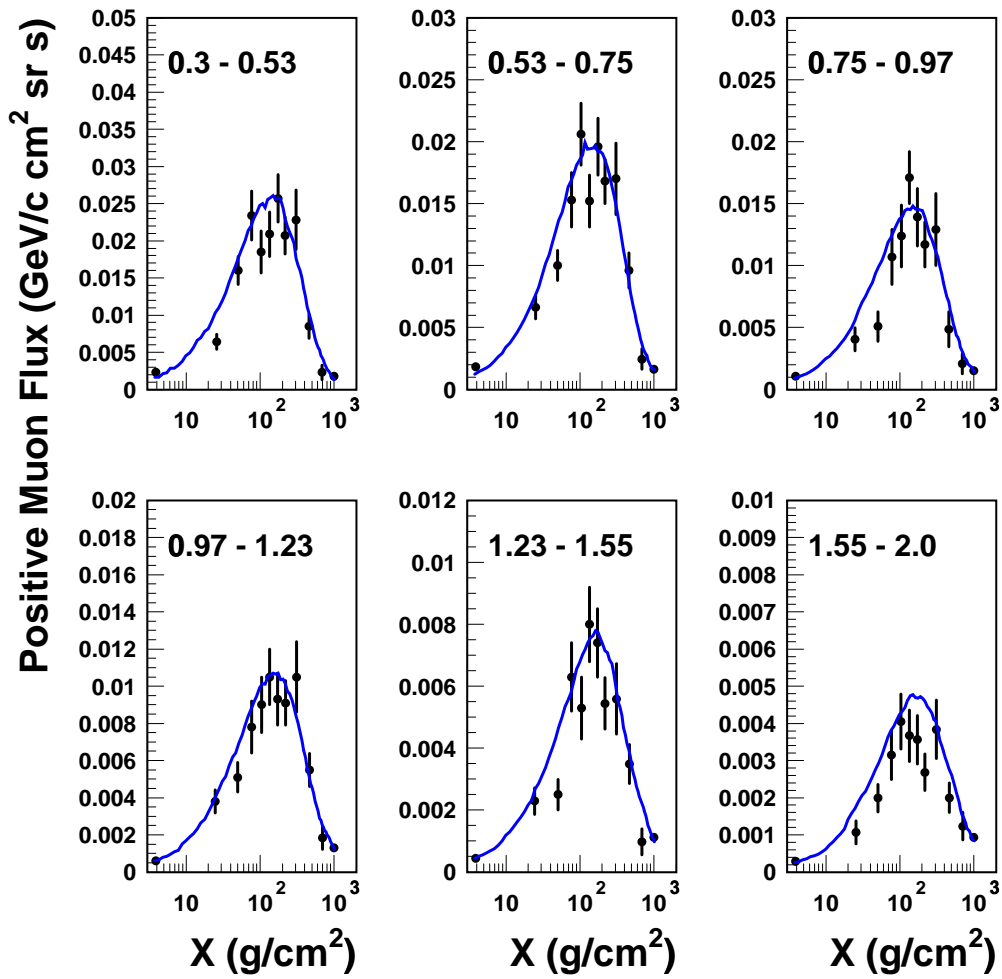
1. Primary Cosmic Ray Spectra
2. Atmosphere description 51 concentric shells of a mixture of N,O,Ar
3. Particle transport and decay
4. **Hadronic interactions** G. Battistoni et al now2000
5. Geomagnetic effects Dipole or map, applied a posteriori
6. **Geometry :3D/1D** G. Battistoni et al.,Astropart. Phys 12 (2000) 315
7. Minor local corrections
8.  $\nu$  interactions NUX-FLUKA

### Standard Calculations: **HKKM**<sup>1</sup> **Bartol**<sup>2</sup>

<sup>1</sup>M.Honda et al. , Phys Rev.D52 (1995) 4985

<sup>2</sup>V. Agrawal et al., Phys Rev.D53 (1996) 1314

### Comparison FLUKA 3D - CAPRICE 94 Positive $\mu$ s

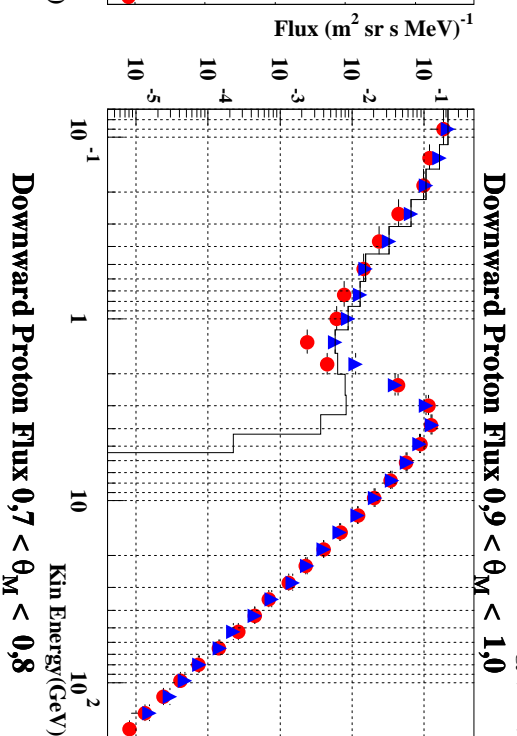
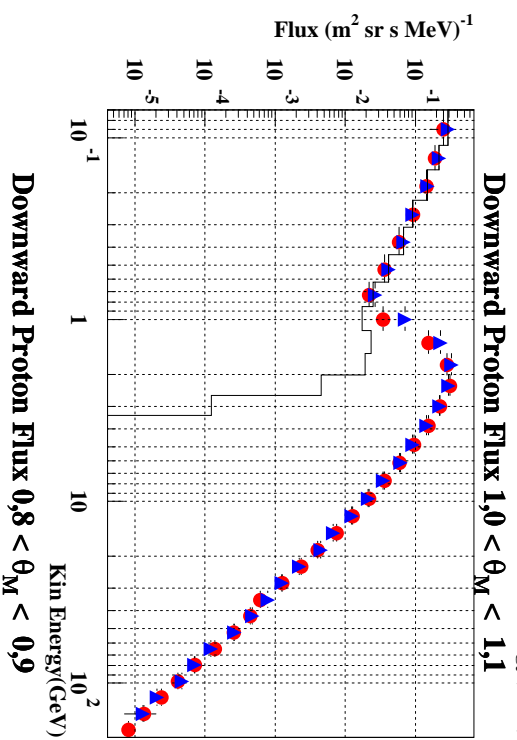
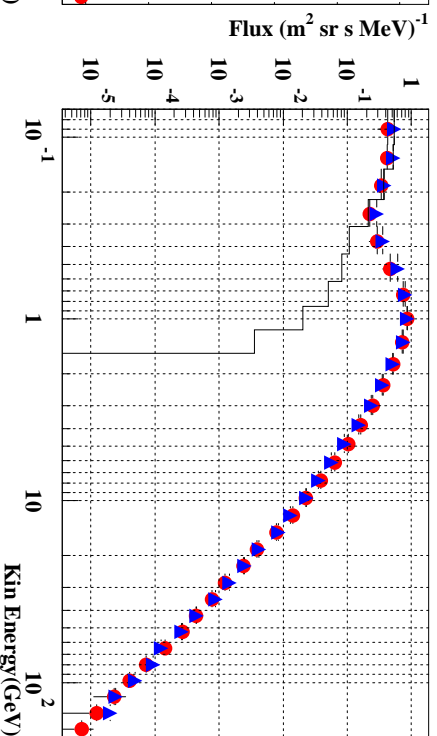
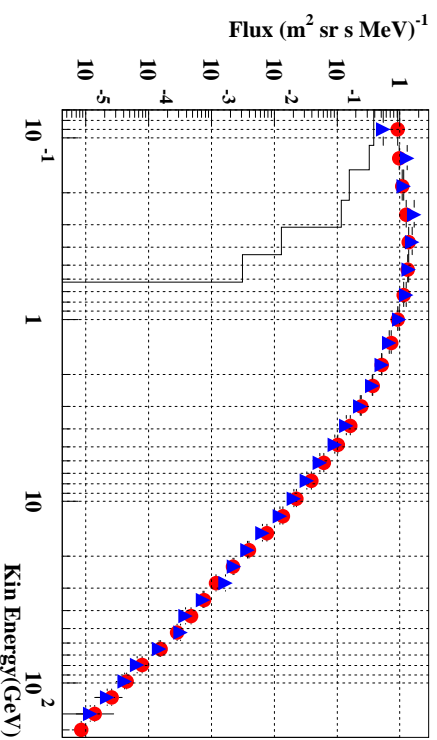


Hadron/muon Fluxes

# AMS I (thanks to P.Zuccon et al., INFN and Univ. Perugia)

Red = AMS Data    Blue = This Simulation

2001/04/09 18.21



## LBP dismantling: a calculational nightmare

Request : demonstrate that ALL activities are below 1/10 of the 1996 European Directive limits ( around 10 Bq/g ) after 10 year operation

An almost unaffordable task for a MC :

Starting from an electron beam,

simulate the extremely rare photon induced nuclear interactions  
with such an accuracy as to determine the residual nuclei.

EXPERIMENT : samples of different materials on LEP beam dumps.

- Irradiation time: 5 months, at about 20 cm from the beam axis
- Specific activity of the radionuclides detected in the samples were compared with FLUKA calculations
- The measured activities are so low (few Bq/g) that even the experimental measurement is difficult

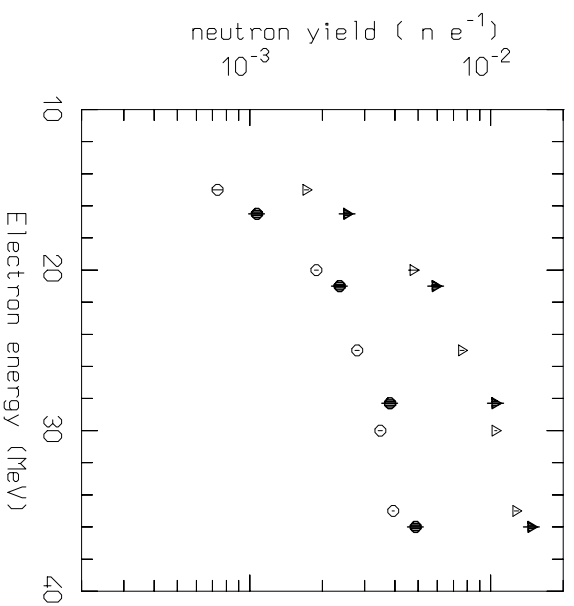
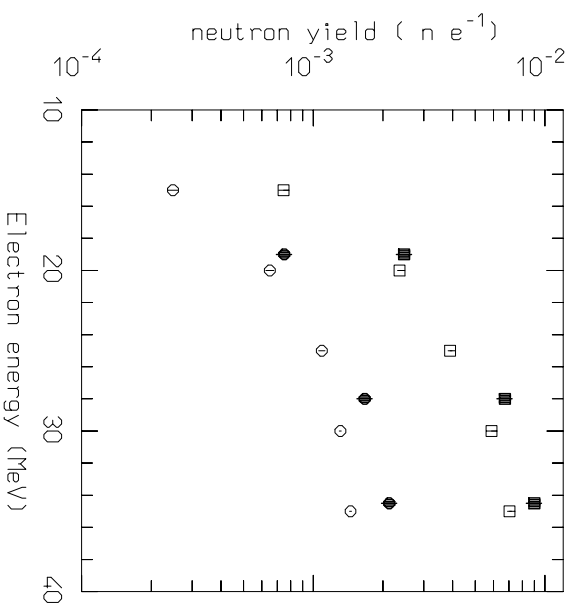


## Photonuclear Interactions

- Giant Resonance interaction
- Quasi-Deuteron effect
- interaction in the Delta Resonance energy region
- Vector Meson Dominance in the high energy region
- INC, preequilibrium and evaporation via the PEANUT model
- Possibility to bias the photon nuclear inelastic interaction length to enhance interaction probability

In not many other existing transport codes photonuclear reactions are simulated over the whole energy range

## Photonuclear Interactions



Yield of neutrons per incident electron as a function of initial electron energy. Open symbols: FLUKA, closed symbols: exp. data. **Left:** Pb, 1.01 (lower points) and 5.93 (upper)  $X_0$  **Right:** U, 1.14 and 3.46  $X_0$

## Evaporation

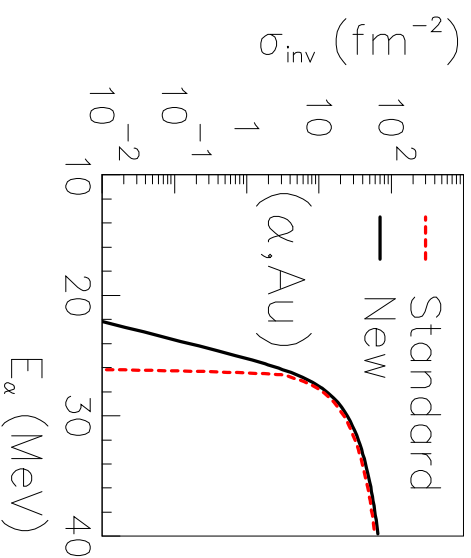
### Weisskopf-Ewing evaporation

$$P_j(E)dE = \frac{(2S_j + 1)m_j}{\pi^2 \hbar^3} \sigma_{inv} \frac{\rho_f(U_f)}{\rho_i(U_i)} E dE \quad (1)$$

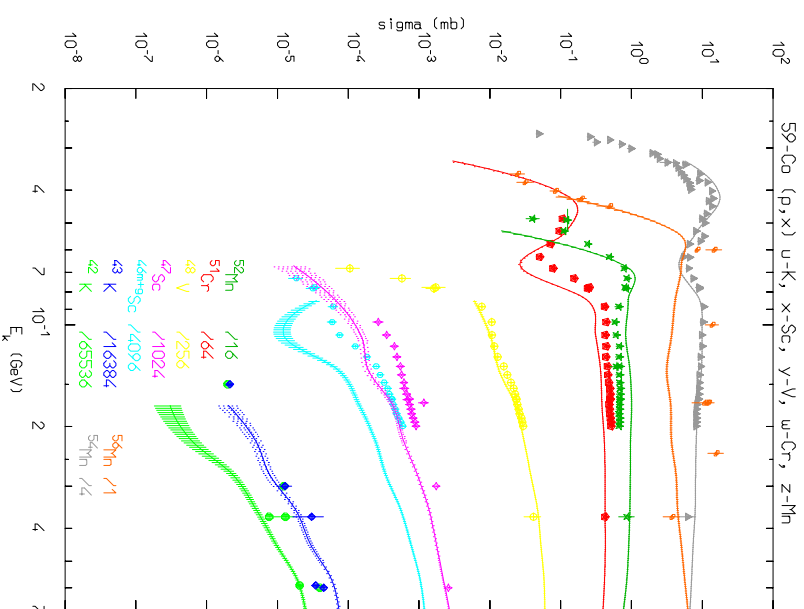
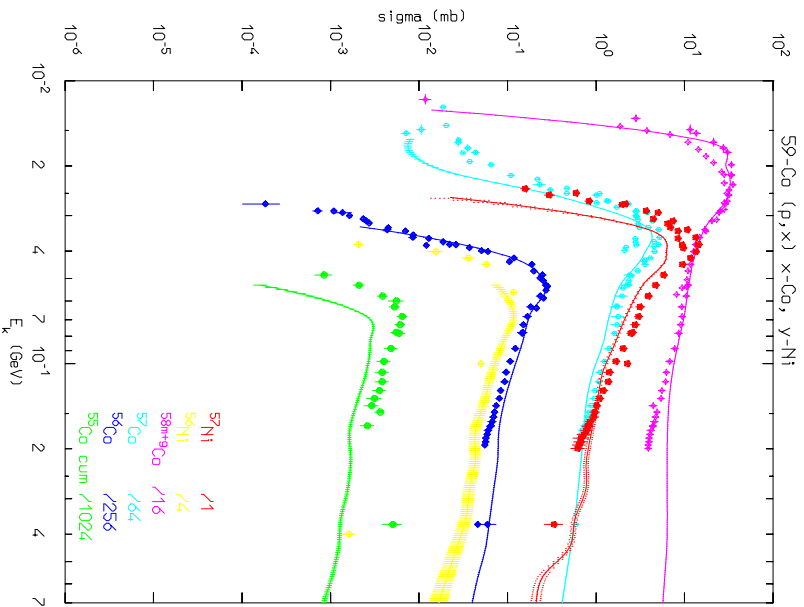
- Improved state density  $\rho = \exp(2\sqrt{aU})/U^{\frac{5}{4}}$
- No Maxwellian approximation for energy sampling
- $\gamma$  competition in progress

- Sub-barrier emission:

$$\sigma_{inv}^x = (R + \bar{\lambda})^2 \frac{\hbar \omega_x}{2E} \ln \left[ 1 + e^{\frac{2\pi(E - V_c)}{\hbar \omega_x}} \right]$$



## Residual nuclei predictions: examples



Comparison between computed and measured (A.S. Iljinov et al., Landolt-Börnstein, Vol. 13a (1991)) isotope production by protons on natural Cobalt

## LEP activation: some experimental results

Radio nuclide	T <sub>1/2</sub>	Specific Activity (Bq/g)		Ratio F/E
		Exp.	FLUKA	
<sup>46</sup> Sc	83.8 d	0.13	0.065	12
<sup>48</sup> V	15.97 d	0.31	0.52	7
<sup>51</sup> Cr	27.7 d	4.12	2.7	5
<sup>52</sup> Mn	5.6 d	0.17	0.74	6
<sup>54</sup> Mn	312.2 d	3.54	2.9	2
<sup>59</sup> Fe	44.5 d	0.028	0.0088	27
<sup>56</sup> Co	77.7 d	0.29	0.46	7
<sup>57</sup> Co	271.8 d	1.3	1.1	4
<sup>58</sup> Co	70.9 d	2.65	1.4	3
<sup>60</sup> Co	5.27 y	0.18	0.085	21
<sup>95</sup> Nb	34.9 d	0.038	0.013	27

*Stainless Steel sample on the LEP electron dump. The exp. points have a systematic error of  $\approx 20\%$ (A.Fassò et al. CERN-TIS-99-011-RP-CF/SLAC-PUB-8214 and CERN-TIS-99-012-RP-CF/SLAC-PUB-8215)*

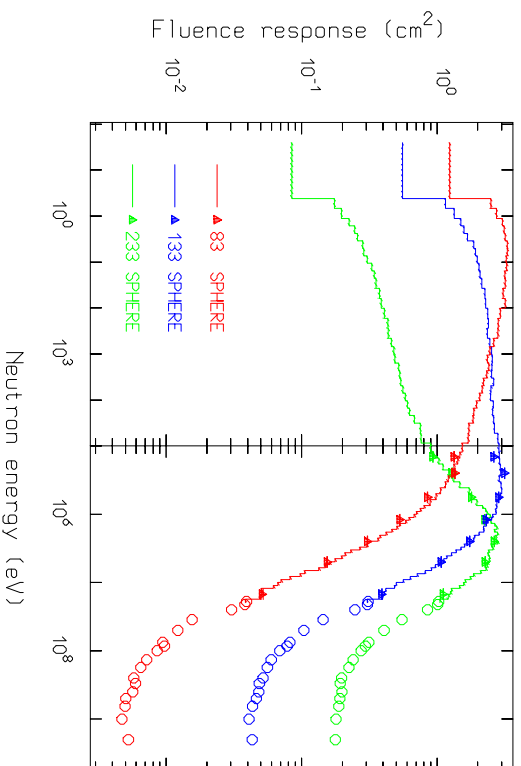
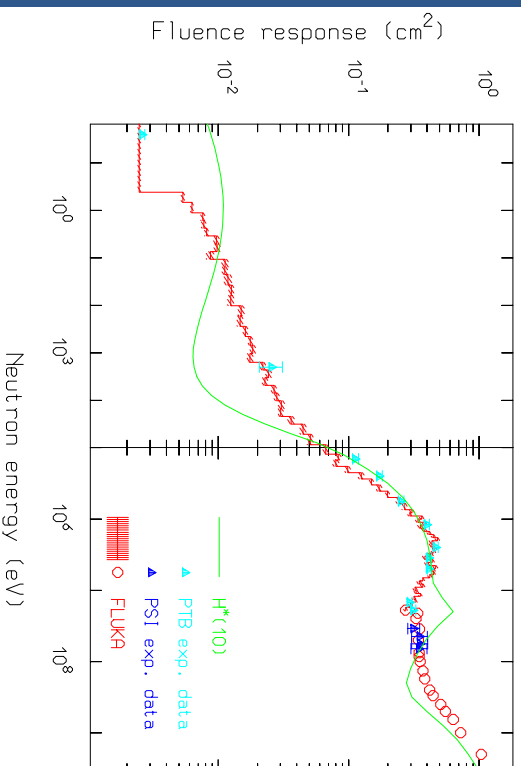
## The CERN reference radiation facility – CERF

- A reference radiation facility (called CERF) for the calibration and intercomparison of dosimetric devices in high energy stray radiation fields is available at CERN since 1993, on the H6 beam line in the North Area.
- Hadron beams with momentum of either 120 or 205 GeV/c are stopped in a copper target, which can be installed in two different positions. On top and on side of these two positions, the secondary particles produced in the target are filtered by a shielding made up of either concrete or iron.
- The facility is partially supported by the European Commission in the framework of a research program for the assessment of radiation exposure at civil flight altitudes.
- The composition of the CERF field is accurately known by means both of FLUKA calculations and measurements with several instruments which nicely agree each other. Some examples of comparisons of computed vs measured data are presented in the following.

## Neutron transport below 20 MeV

- ENEA multigroup cross-sections: 72 groups,  $\approx 100$  elements/isotopes
- gamma-ray generation, different temperatures, Doppler broadening, self-shielding.
- Transport: standard multigroup transport with photon and fission neutron generation.
- Detailed kinematics and recoil transport for elastic and inelastic scattering on hydrogen nuclei.
- Photons transported with the EMF package
- Kerma factors to calculate energy deposition
- residual nuclei production

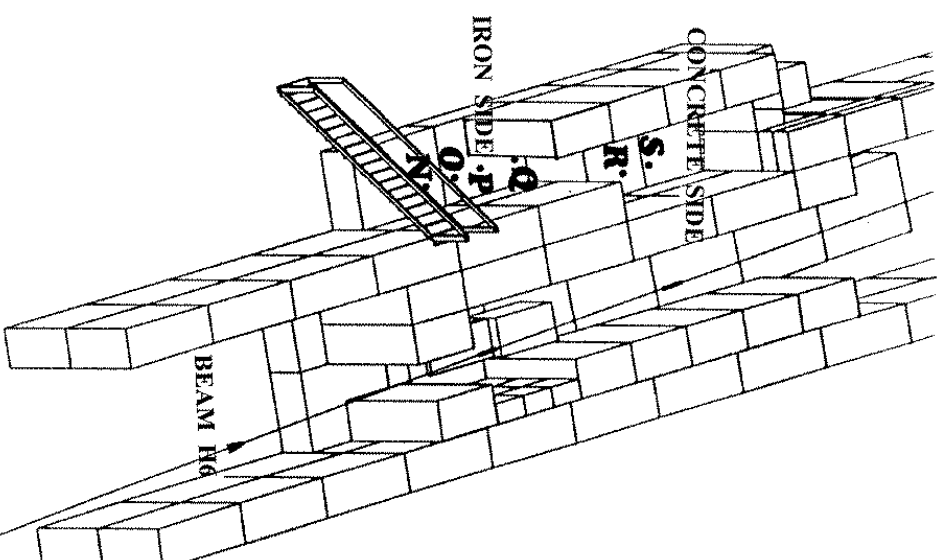
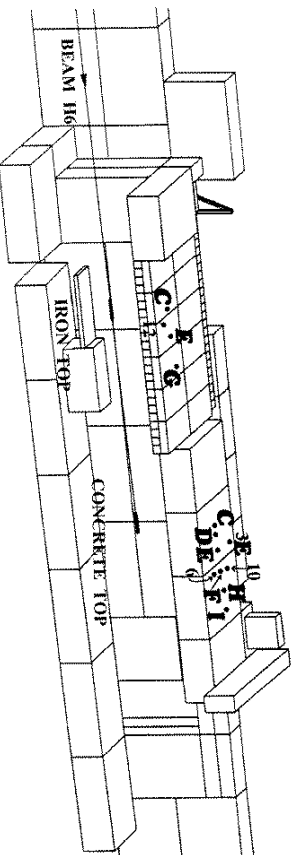
## Neutron detector calibration



Calibration of the LINUS rem counter (left) and of three Bonner spheres (right) with monoenergetic neutron beams at PTB–Braunschweig and with semi-monoenergetic neutron beams at PSI (full symbols), compared with simulations (dashed histos and open circles)



## CERF: layout



Top (left, one side removed) and side (right, roof removed) views of the CERF facility with the measuring positions.

## CERF: some results

	experimental cts/PIC	%	FLUKA cts/PIC	%	experimental cts/PIC	%	FLUKA cts/PIC	%
	CONCRETE TOP "E"				IRON TOP "C"			
LINUS rem counter*	0.364	0.36	0.409	2.2	1.78	0.30	1.68	2.1
SNOOPY rem counter*	0.200	0.59	0.207	3.3	1.83	0.75	1.71	2.0
233 sphere	0.788	0.33	0.899	3.7	9.28	0.28	9.23	2.0
178 sphere	0.989	0.36	1.01	3.4	16.1	0.24	16.9	1.9
133 sphere	1.02	0.30	0.981	3.2	19.2	0.19	21.2	1.9
108 sphere	0.942	0.35	0.883	3.1	17.7	0.20	19.2	1.9
83 sphere	0.704	0.30	0.717	3.1	11.2	0.26	12.1	1.9

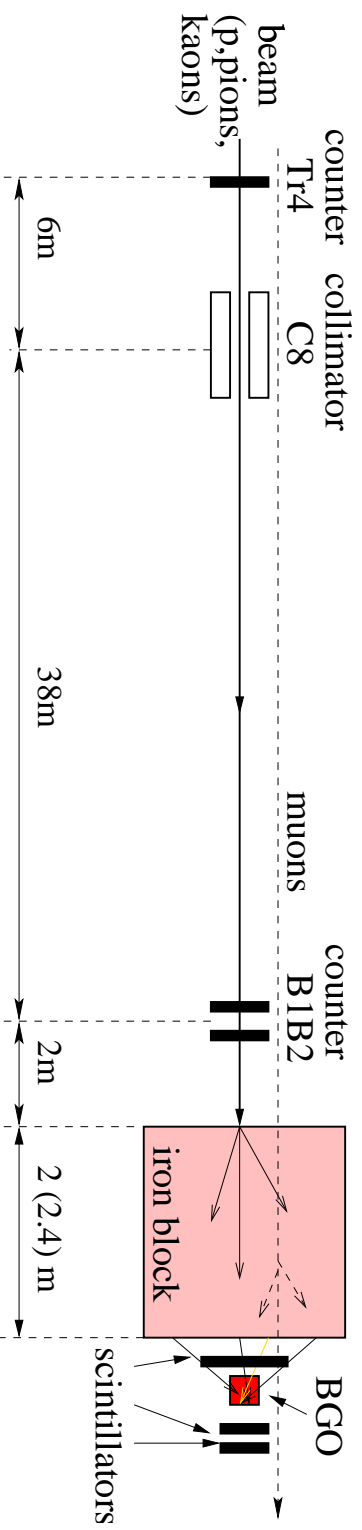
Comparison between the **FLUKA** predictions and the experimental response of the various detectors in stray radiation fields at CERN<sup>3</sup>. The percent statistical uncertainty (%) is indicated.

<sup>3</sup>C.Birattari et al., Radl.Prot.Dos. **76** (1998) 135

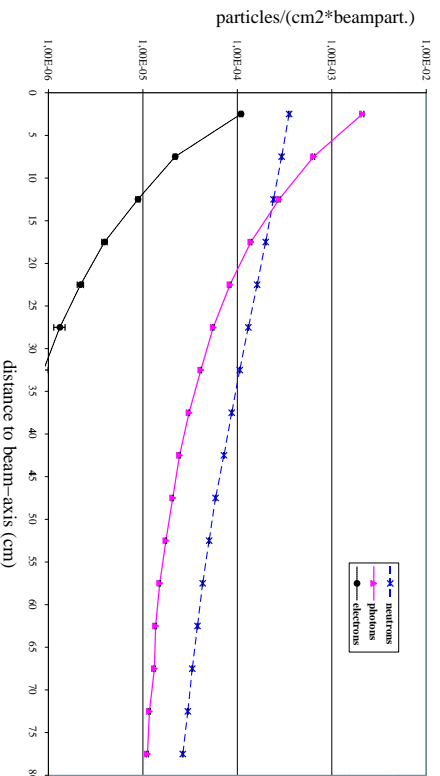
## LHC background benchmarking

Absolute yield and spectral measurements of photons and neutrons emanating from the final stages of hadronic showers have been checked with a  $Bi_4Ge_3O_{12}$  detector with 40 and 120 GeV/c beams on 11  $\lambda$  (200 cm) and 14  $\lambda$  (240 cm) thick cast iron targets. The results were intended to verify or disprove the simulations used for assessing the soft particle background at LHC experiments.

(*E. Gschwendtner, H. Vincke, C.W. Fabjan, N. Hessey, T. Otto, CERN-EP/2001-025, submitted to NIMA*)

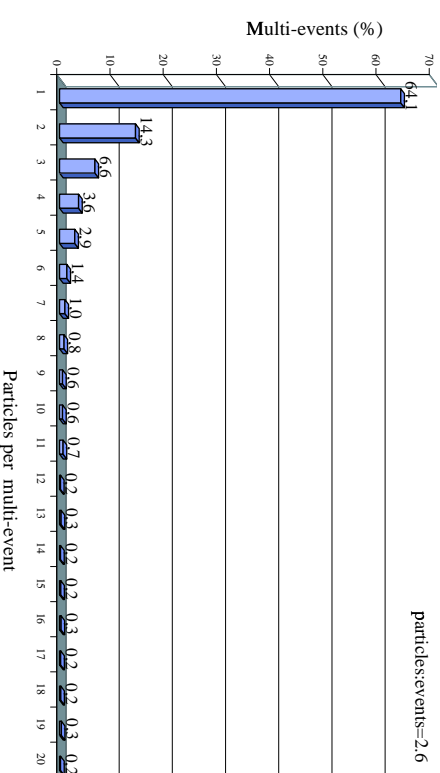


## LHC background benchmarking



Computed shower composition at 40 GeV/c, 240 cm thickness (left), and computed event multiplicity in the BGO (right)

Showers are still dominated on axis by late  $\pi^0$



The event multiplicity and possible accompanying charged particles must be accounted for in order to reproduce properly the experimental conditions and vetos

## LHC background benchmarking II

Measurement set-up	Signal rate $\times 10^{-4}$		Ratio meas./sim.
	Measured	Simulated	
$p_{\text{beam}} = 40 \text{ GeV}/c$			
200 cm on-axis	$76.2 \pm 0.4$	$71.8^{+6.7}_{-14.9}$	$1.06^{+0.10}_{-0.22}$
200 cm off-axis	$6.8 \pm 0.2$	$6.1^{+0.8}_{-0.7}$	$1.12^{+0.14}_{-0.13}$
240 cm on-axis	$23.0 \pm 0.4$	$15.8^{+2.7}_{-3.6}$	$1.46^{+0.25}_{-0.33}$
240 cm off-axis	$2.3 \pm 0.1$	$1.9^{+0.3}_{-0.2}$	$1.24^{+0.19}_{-0.15}$
$p_{\text{beam}} = 120 \text{ GeV}/c$			
200 cm off-axis	$26.3 \pm 0.4$	$26.0^{+3.0}_{-2.9}$	$1.01^{+0.12}_{-0.12}$
240 cm on-axis	$93.5 \pm 1.7$	$78.6^{+9.6}_{-11.1}$	$1.19^{+0.15}_{-0.17}$
240 cm off-axis	$9.7 \pm 0.6$	$7.8^{+1.4}_{-1.3}$	$1.24^{+0.24}_{-0.21}$
Weighted average			$1.13 \pm 0.06$

Summary of measured and simulated signal rates in the energy interval  
 $0.35 \text{ MeV} < E < 9 \text{ MeV}$  per incident beam particle

## LHC background benchmarking III

Measurement set-up	$\langle E\text{-deposition} \rangle$ [MeV]	
	Measured	Simulated
$p_{\text{beam}} = 40 \text{ GeV}/c$		
200 cm on-axis	2.045 ± 0.018	2.057 ± 0.174
200 cm off-axis	1.615 ± 0.004	1.618 ± 0.041
240 cm on-axis	1.923 ± 0.009	1.824 ± 0.073
240 cm off-axis	1.666 ± 0.003	1.530 ± 0.021
$p_{\text{beam}} = 120 \text{ GeV}/c$		
200 cm off-axis	1.572 ± 0.009	1.805 ± 0.091
240 cm on-axis	2.051 ± 0.020	2.058 ± 0.183
240 cm off-axis	1.649 ± 0.006	1.687 ± 0.051

Summary of measured and simulated averaged energy depositions in the energy interval

$$0.35 \text{ MeV} < E < 9 \text{ MeV}$$

## Future developments:

- Ion interactions:
  - Consolidation and benchmarking of the interface with DPMJET
  - Extension of the present nuclear models to handle light ions in the intermediate energy range
- A new powerful and user friendly interface through the ROOT system
- Residual activity and dose rates: *Online use of databases for:*
  - *Cooldown* calculations (already implemented offline)
  - $\gamma$ ,  $\beta$  and  $\alpha$  radiation emission and transport online.
- DPM: add multi-Pomeron exchanges
- PEANUT extension to high energy
- Refinements to evaporation, inclusion of heavy fragment emission
- New low energy neutron library

## Different Applications

The **FLUKA** development, its accuracy and versatility originated to a great deal from the needs of the author experiments, and new applications arise from new code capabilities, with a continuous interplay which is always physics driven. Examples are given below.

- Neutrino physics and Cosmic Ray studies: initiated within ICARUS
  - Neutrino physics: ICARUS, CNGS, NOMAD, CHORUS
  - Cosmic Rays: First 3D  $\nu$  flux simulation, Bartol, MACRO, Notre-Dame, AMS
- Accelerators and shielding : the very first **FLUKA** application field
  - Beam-machine interactions: CERN, NLC, LCLS
  - Radiation Protection: CERN, INFN, SLAC, Rosendorf
  - Waste Management and environment: LEP dismantling, SLAC
- Background and radiation damage in experiments: Pioneering work for ATLAS
  - all LHC experiments, NLC



## Different Applications

- Dosimetry, radiobiology and therapy :
  - Dose to Commercial Flights: E.U., NASA
  - Dosimetry: INFN, ENEA, GSF, NASA
  - Radiotherapy: Already applied to real situations (Optis at PSI, Clatterbridge)
  - Dose and radiation damage to Space flights: NASA, ASI
- Calorimetry:
  - ATLAS test beams
  - ICARUS
- ADS, spallation sources (FLUKA+EA-MC, C.Rubbia et al.)
  - Energy Amplifier
  - Waste trasmutation with hybrid systems
  - Pivotal experiments on ADS (TARC, FEAT)
  - nTOF